08-25-05

PAREXPRESS MAIL NO.: EV704110779US

August 23, 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Benjamin R. Yerxa, et. al.

Art Unit:

1623

Appl. No.: 10/082,998

Examiner:

**Howard Owens** 

Filed: February 27, 2002

Atty. Docket: 03678.0102.CPUS00

For:

**COMPOSITIONS AND** 

Confirmation No.: 3186

**METHODS FOR TREATING** EPITHELIAL AND RETINAL

**TISSUE DISEASES** 

#### REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents Box 1450 Alexandria, VA 22213-1450

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

THIS APPLICATION IS A CIP OF 09/934,970 08/21/2001 WHICH IS A CIP OF 09/643,138 08/21/2000

TITLE: Compositions Compositions and methods for treating epithelia and retinal tissue diseases.

A photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red. Also enclosed is a copy of the Request to Amend Priority and Inventor Declaration, which was submitted to the USPTO on February 26, 2003 (postcard receipt attached herewith).

DM\_US\8244478.v1

Application No.: 10/082,998 Attorney Docket No. 03678.0102.CPUS00

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to **Deposit Account No. 08-3038**, referencing docket number **03678.0102.PCUS00**.

Respectfully submitted,

Viola T. Kung (Reg. No. 41,131)

Date: August 23, 2005

HOWREY LLP 2941 Fairview Park Drive, Box 7 Falls Church, VA 22042 Telephone: (650) 463-8133 Fax: (650) 463-8400

Enclosures



### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC	D ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/082,998	02/27/2002	1635	435	3678.0102.CPUS	00	20	2

**CONFIRMATION NO. 3186** 

UPDATED FILING RECEIPT

OC000000008230711\*

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HOWREY SIMON ARNOLD & WHITE, LLP

**BOX 34** 

301 RAVENSWOOD AVE. MENLO PARK, CA 94025

JUN 1 0 2002

Howrey - SV

Date Mailed: 06/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Benjamin R. Yerxa, Raleigh, NC; James G. Douglass III, Apex, NC; Sammy Ray Shaver, Chapel Hill, NC; Ward M. Peterson, Durham, NC; Edward G. Brown, Apex, NC; Christopher S. Crean, Apex, NC;

#### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/994,970-98/21/2001--WHIGH IS A CIP OF 09/643,138 08/21/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/11/2002

Projected Publication Date: 09/12/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Compositions

\*Compsoitions and methods for treating epithelia and retinal tissue diseases

**Preliminary Class** 

514

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





rease indicate receipt of the below-identified	
New Application For:	P.T.O.
Foreign priority already claimed	Priority Date: PTO
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Cracic ii CIF	Divisional CPA
Response to Off	D
Response to Office Action Dated:	Drawings: Sheets
postered P10 Form 1083 (1 pg.); Request to Amend Pr	jority (1 m) G Final Rejection
Other: PTO Form 1083 (1 pg.); Request to Amend Pr	formy (1 pg.); Combined Dec. & Power (4 pgs.); and
Assignment Enclosed Cert. of Timely	
IDENTIFICATION.	Mailing Exp. Mail: EL539792281US
Serial No.: 10/082,998	OF APPLICATION
Title: COMPOSITIONS AND ACTION	Responsible Attorney A DVV
DISEASES  OSTHONS AND METHODS FOR TREAT	ING EPITHELIAL AND DEED AND DEED
Title: COMPOSITIONS AND METHODS FOR TREAT DISEASES Applicant: Benjamin R. Yerxa, et al.	AND RETINAL TISSUE
Client: Inspire	
Mailed: E.I.	Entered By: jp
Mailed: February 26, 2003 Filed: February 26, 2003	Firm File No.:03678.0101 CPUS00
	Due Date:
•	The state of the s

Express Mail No.: EL539792281US



#### HOWREY SIMON ARNOLD & WHITE, LLP 301 Ravenswood Avenue, Box 34 Menlo Park, CA 94025 (650) 463-8100



**FORM PTO-1083** 

Attorney Docket No.: 03678.0102.CPUS00

In re application of:

Benjamin R. Yerxa, et al.

Appl. No.: 10/082,998 Filed: February 27, 2003 Confirmation No.: 3186

For: COMPOSITIONS AND METHODS FOR TREATING EPITHELIAL AND RETINAL

TISSUE DISEASES

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

Transmitted herewith is the following in the above-identified application.

- 1. PTO Form 1083 (1 pg.);
- 2. Request to Amend Priority Claim (1 pg.);
- 3. Combined Declaration and Power of Attorney (4 pgs.); and
- 4. Return Receipt Postcard.

The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <u>08-3038</u> referencing docket number <u>03678.0102.CPUS00</u>. A duplicate copy of this sheet is attached.

Albert P. Halluin (Reg. No. 25,227) Viola T. Kung (Reg. No. 41,131)

Date: February 26, 2003

HOWREY SIMON ARNOLD & WHITE, LLP Box No. 34 301 Ravenswood Ave. Menlo Park, CA 94025 (650) 463-8109







#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Benjamim R. YERXA, et al.

Appl. No.: 10/082,998

Filed: February 27, 2002

For: COMPOSITIONS AND METHODS FOR TREATING EPITHELIAL AND RETINAL

TISSUE DISEASES

Art Unit: 1635

Examiner: Not Yet Assigned

Attorney Docket: 03678.0102.CPUS00

Confirmation No.: 3186

#### REQUEST TO AMEND PRIORITY CLAIM

Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants are requesting herewith to correct priority claim of this application. Applicants have incorrectly claimed benefit of both applications 09/934,970, filed August 21, 2001, and 09/643,138, filed August 21, 2000. The correct priority claim is only to 09/643,138, filed August 21, 2000. Applicants are submitting herewith newly executed Invention Declaration, which indicates the correct priority claim.

Applicants request that a corrected Filing Receipt by issued to reflect the change in priority claim.

Respectfully submitted,

Date: February 26, 2003

Albert P. Halluin (Reg. No. 25,227)

Viola T. Kung (Reg. No. 41,131)

HOWREY SIMON ARNOLD & WHITE, LLP 301 Ravenswood Avenue Box No. 34 Menlo Park, CA 94025 (650) 463-8109





## Combined Declaration and Power of Attorney for Patent Application

Docket Number: <u>03678.0102.CPUS00</u>

As a below named inventor, I hereby declare that:

was filed on February 27, 2002;

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled <u>Compositions and Methods for Treating Epithelial and Retinal Tissue Diseases</u>, the specification of which is attached hereto unless the following box is checked:

as United Sta was amended	ates Application Number or PCT on(if applicab	International Application Number <u>10/082</u> le).	2.998 ; and
I hereby state that I haby any amendment ref	ive reviewed and understand the ferred to above.	contents of the above identified specificati	on, including the claims, as amended
I acknowledge the dut	y to disclose information that is r	naterial to patentability as defined in 37 C.	.F.R. § 1.56.
below, and have also i	of any PC1 international applica	. § 119(a)-(d) or § 365(b) of any foreign a ation, which designated at least one countrication for patent or inventor's certificate, chich priority is claimed.	v other than the United States listed
Prior Foreign Application(s)			Priority Claimed
		•	Yes No
(Application No.)	(Country)	(Day/Month/Year Filed)	<del></del>
			☐ Yes ☐ No
(Application No.)	(Country)	(Day/Month/Year Filed)	
I hereby claim the bene	efit under 35 U.S.C. § 119(e) of a	ny United States provisional application(s	) listed below.
(Application No.)	(Filing Date)		
(Application No.)	(Filing Date)	<del></del>	

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became available between the filing date of the prior application and the national or PCT international filing date of this application.

Appl. No.: 10/082,998 Docket No.: 03678.0102.CPUS00

09/643,138	August 21, 2000	Pending		
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)		
		•		
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Jason C. Abair, Reg. No. 44,007 Ethan B. Andelman, Reg. No. 48,997 Michael J. Bell, Reg. No. 39,604 Andrew S. Brenc, Reg. No. 45,534 Celine T. Callahan, Reg. No. 34,301 Kevin Cheatham, Reg. No. 48,766 Jenny W. Chen, Reg. No. 44,604 Robin C. Chiang, Reg. No. 46,619 Chris Comuntzis, Reg. No. 31,097 James F. Davis, Reg. No. 21,072 Thomas M. Dunham, Reg. No. 39,965 Panpan Gao, Reg. No. 43,626 Darren J. Gold, Reg. No. 47,599 Alan M. Grimaldi, Reg. No. 26,599 J. Jay Guiliano, Reg. No. 41,810 Albert P. Halluin, Reg. No. 25,227 Leslie L. Jacobs, Jr., Reg. No. 40,659 Derek J. Jardieu, Reg. No. 44,483

Christopher L. Kelley, Reg. No. 42,714 Brian S.Y. Kim, Reg. No. 41,114 Viola T. Kung, Reg. No. 41,131 Robert C. Laurenson, Reg. No. 34,206 Joseph P. Lavelle, Reg. No. 31,036 Don F. Livornese, Reg. No. 32,040 Christopher A. Mathews, Reg. No. 35,944 Matthew J. Moore, Reg. No. 42,012 Andrew Y. Piatnicia, Reg. No. 40,772 William C. Rooklidge, Reg. No. 31,791 Michael J. Stimson, Reg. No. 45,429 William K. West, Reg. No. 22,057 Adam K. Whiting, Reg. No. 44,400 Jayna R. Whitt, Reg. No. 47,175 Karen K. Wong, Reg. No. 44,409 Wallace Wu, Reg. No. 45,380 Matthew S. Zises, Reg. No. 47,246 Mark Montgomery, Reg. No. 30,780

Send Correspondence to:

# Albert P. Halluin HOWREY SIMON ARNOLD & WHITE, LLP

301 Ravenswood Avenue Box No. 34 Menlo Park, CA 94025 Facsimile: (650) 463-8144

Direct Telephone Calls to: (650) 463-8109

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Appl. No.: 10/082,998 Docket No.: 03678.0102.CPUS00

Full name of sole or first inventor  Benjamin R. YERXA	
Inventor's signature Brail	Date Feb 19, 2013
Residence 3119 Birnamwood Road, Raleigh, NC 27607	
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Post Office Address 3119 Birnamwood Road, Raleigh, NC 27607	
Full name of second inventor  James G. DOUGLASS, III	
Second inventor's signature	FLO 19, 2003
Residence 211 S. Mason Street, Apex, NC 27502	
Citizenship US	
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<u>.                                    </u>	
Full name of third inventor Sammy Ray SHAVER	
Third inventor's signature	Date <b>FEB</b> 19,2003
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Citizenship US	٠-
Post Office Address 614 Hollow Ct., Chapel Hill, NC 27516-9459	

Appl. No.: 10/082,998 Docket No.: 03678.0102.CPUS00

Full name of fourth inventor Ward M. PETERSON Fourth inventor's signature \_\_ Date Feb 19, 2003 Residence 202 Chandler Chase Court, Morrisville, NC 27560 Citizenship US Post Office Address 202 Chandler Chase Court, Morrisville, NC 27560 Full name of fifth inventor **Edward G. BROWN** Fifth inventor's signature Residence 302 Glenmore Road, Cary, NC 27519 Citizenship US Post Office Address 302 Glenmore Road, Cary, NC 27519 Full name of sixth inventor Christopher S. CREAN Residence 208 Calebra Way, Cary, NC 27519 Citizenship US Post Office Address 208 Calebra Way, Cary, NC 27519

(Supply similar information and signature for subsequent joint inventors, if any)